



NRF2/ARE pathway negatively regulates BACE1 expression and ameliorates cognitive deficits in mouse Alzheimer's models

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BACE1 is the rate-limiting enzyme for amyloid- β peptides (A β) generation, a key event in the pathogenesis of Alzheimer's disease (AD). By an unknown mechanism, levels of BACE1 and a BACE1 mRNA-stabilizing antisense RNA (BACE1-AS) are elevated in the brains of AD patients, implicating that dysregulation of BACE1 expression plays an important role in AD pathogenesis. We found that nuclear factor erythroid-derived 2-related factor 2 (NRF2/NFE2L2) represses the expression of BACE1 and BACE1-AS through binding to antioxidant response elements (AREs) in their promoters of mouse and human. NRF2-mediated inhibition of BACE1 and BACE1-AS expression is independent of redox regulation. NRF2 activation decreases production of BACE1 and BACE1-AS transcripts and A β production and ameliorates cognitive deficits in animal models of AD. Depletion of NRF2 increases BACE1 and BACE1-AS expression and A β production and worsens cognitive deficits. Our findings suggest that activation of NRF2 can prevent a key early pathogenic process in AD.

NRF2 | BACE1 | Alzheimer's disease | 3xTg-AD mice | 5xFAD mice

Alzheimer's disease (AD) is the most common type of dementia and is characterized by accumulation of amyloid- β (A β) plaques and neurofibrillary tangles, synaptic and neuronal loss, and cognitive decline. BACE1 is the only β -secretase responsible for the production of A β and therefore plays a key role in the pathogenesis of AD (1–3). A long noncoding RNA transcribed from the opposite strand of *BACE1* (*BACE1-AS*) stabilizes *BACE1* mRNA by forming a heteromeric RNA duplex (4). *BACE1* mRNA and protein levels as well as *BACE1-AS* transcript are abnormally elevated in postmortem brain tissue from patients with AD (4–8). A small increase in *BACE1* induces a dramatic increase in A β production (9), and inhibitors of BACE1 enzyme activity are being pursued as a therapeutic strategy for AD (10). Genetic reduction of *BACE1* or *BACE1-AS* levels reduces A β plaque pathology in mouse models of AD (4, 11–13), suggesting that identification of transcriptional repressors of *BACE1* gene expression could provide an avenue for intervention in AD.

Nuclear factor erythroid-derived 2-related factor 2 (NRF2/NFE2L2) is a transcription factor that binds to the antioxidant response elements (AREs) and regulates a variety of cytoprotective and detoxification genes (14). In the inactive state, kelch-like ECH-associated protein1 (KEAP1) binds to NRF2 and retains it in the cytoplasm where it is degraded by proteasomes (15, 16). NRF2

activators, such as sulforaphane and tert-butylhydroquinone (tBHQ), modify cysteine residues of KEAP1, leading to conformational change and disrupting the KEAP1-NRF2 interaction, and accumulated NRF2 then translocates to the nucleus and transactivates target genes by binding to their AREs (17, 18). NRF2 levels are reduced, and NRF2 is localized predominantly in the cytoplasm of hippocampal neurons of AD patients (19). In addition, altered expression of NRF2 target genes is associated with A β pathology in AD animal models (20–22). Here we show that NRF2 is a negative regulator of *BACE1* expression that can ameliorate A β pathology and cognitive deficits in mouse models of AD.

Significance

Considering that Alzheimer's disease (AD) is a chronic disease progressing over a long period of time, even a slight increase of *BACE1* expression may have a profound effect on A β accumulation. We describe a previously unknown mechanism that negatively regulates *BACE1* and *BACE1-AS* expression and demonstrate its pivotal role in the progression of A β and Tau pathologies and cognitive impairment in two mouse models of AD. Given the recent failures of the clinical trials using enzymatic inhibitors of BACE1, it is critical to explore alternative approaches such as down-regulating *BACE1* and *BACE1-AS* transcription. Our finding that NRF2 negatively regulates *BACE1* and *BACE1-AS* therefore suggests a potential for disease modification by NRF2-activating phytochemicals or synthetic small molecules in AD.

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Results

Association of NRF2 and BACE1 with AD. To investigate the association of *NRF2* with Alzheimer's disease, we first analyzed RNA-sequencing (RNA-seq) data from the Allen Brain Institute's Aging, Dementia, and TBI Study. Since several samples, however, showed the abnormal pathological features, we selected AD samples ($n = 38$) revealing AD neuropathological features, i.e., A β 2 secretion, A β 42/A β 40 ratio, and presence of amyloid plaques, and control samples ($n = 34$) not showing AD-related markers. Using these selected samples, we found that the number of *NRF2* reads is significantly reduced in AD patients compared with controls, whereas *BACE1* is elevated in AD (Fig. 1 *A* and *B*). The number of reads for *KEAP1*, γ -secretase complex [*Presenilin 1* (*PSEN1*), *Nicastrin*, *APH-1 α* , and *PEN-2*], and *APP* were not different between control and AD brains (*SI Appendix, Fig. S1*). Linear regression analysis revealed a significant negative correlation between *NRF2* levels and A β plaque accumulation (Fig. 1 *C*). In contrast, a positive correlation was found between *BACE1* levels and A β plaque accumulation (Fig. 1 *D*). While the samples showing high *NRF2* read numbers reveal lower A β plaque load, low read numbers of *NRF2* transcript are related to higher A β plaque amounts (Fig. 1 *E* and *F*).

Then, we determined the levels of NRF2 and BACE1 in postmortem brain tissue from AD patients and age-matched neurologically normal subjects (*SI Appendix, Methods*). In AD subjects, NRF2 protein levels were reduced by 50% compared with nondemented controls, whereas BACE1 levels were significantly elevated, as were levels of A β (Fig. 1 *G* and *H*). These BACE1 increases corresponded with increases in BACE1 ac-

tivity and A β production in AD brains (Fig. 1 *I* and *J*). In accord with RNA-seq data, the protein levels of KEAP1, γ -secretase complex, and APP were not different between control and AD (Fig. 1 *G* and *H*). These data revealed that NRF2 expression is strongly reduced in AD patients.

NRF2 Negatively Regulates the Transcription of *BACE1* and *BACE1-AS*.

We next analyzed the expression of *Bace1* in mouse embryonic fibroblasts (MEFs) from *Keap1*^{-/-}, *Nrf2*^{-/-}, and wild-type (WT) mice. We found that the levels of *Bace1* and *Bace1-AS* transcript were increased in *Nrf2*^{-/-} MEFs compared with WT MEFs, whereas *Bace1* and *Bace1-AS* transcript levels were decreased in *Keap1*^{-/-} MEFs that exhibit NRF2 accumulation as a result of defective KEAP1-mediated NRF2 degradation (Fig. 2 *A* and *B*). As expected, expression of the known NRF2 target gene *Heme oxygenase 1* (*HO-1*) was substantially reduced in *Nrf2*^{-/-} MEFs and increased in *Keap1*^{-/-} MEFs (Fig. 2 *A* and *B*). *Bace1* and *Bace1-AS* expression was significantly increased in the brains of 12-month-old *Nrf2*^{-/-} mice compared with WT mice, suggesting that NRF2 negatively regulates *Bace1* and *Bace1-AS* gene expression (Fig. 2 *C* and *D*). Knockdown of NRF2 in human neuronal SH-SY5Y cells using RNA interference technology increased the expression of *BACE1* and *BACE1-AS* and reduced *HO-1* expression (Fig. 2 *E* and *F*). Conversely, reduction of KEAP1 increased NRF2 levels, suppressed *BACE1* and *BACE1-AS* expression, and increased *HO-1* expression (Fig. 2 *E* and *F*). On the other hand, ectopic expression of NRF2 led to decreased *BACE1* and *BACE1-AS* levels, whereas *BACE1* and *BACE1-AS* levels were increased when KEAP1 was overexpressed (Fig. 2 *G* and *H*). Consistent with these findings, we also observed that two

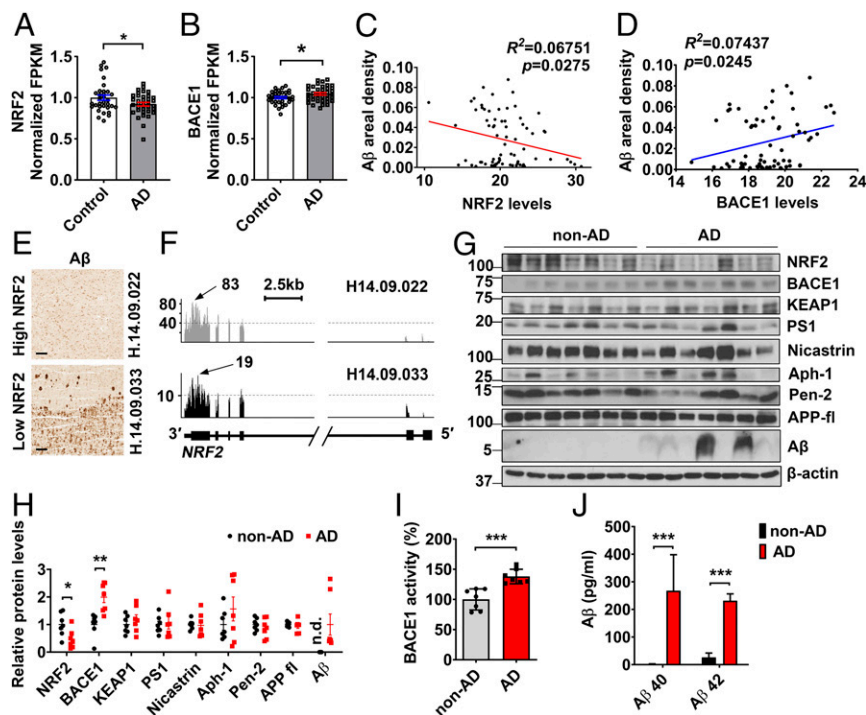


Fig. 1. Association of *NRF2* and *BACE1* with AD. (*A–F*) RNA-seq and neuropathological protein quantification data obtained from the Allen Brain Institute's Aging, Dementia, and TBI Study. (*A* and *B*) *NRF2* and *BACE1* normalized fragments per kilobase million (FPKM) in the parietal cortex of control ($n = 34$) and AD patients ($n = 38$). (*C* and *D*) Linear regression analysis between areal percentage covered by A β and *NRF2* (*C*) and *BACE1* (*D*) levels by RNA-seq in parietal cortex of both control and AD patients ($n = 72$). (*E*) A β immunohistochemistry images on AD patients' parietal cortex selected for the RNA-seq study. (Scale bar, 200 μ m.) (*F*) Coverage reads of normalized RNA-seq throughout the *NRF2* locus in the parietal cortex of AD patients characterized in *E*. Arrows indicate the highest read coverage point. (*G*) Western blot analysis of *NRF2*, *BACE1*, *KEAP1*, *PS1* (*Presenilin 1*), *Nicastrin*, *Aph-1*, *Pen-2*, full-length APP (*APP-fl*), and A β levels in the brains of AD patients ($n = 7$) and nondemented controls (non-AD, $n = 7$). Research subject demographics and amyloid plaque data are shown in *SI Appendix, Table S1*. (*H*) Results of quantitative analysis of *G*. (*I*) *BACE1* enzymatic activities in non-AD and AD patients' brain extracts. (*J*) Levels of A β 40 and A β 42 were quantified in non-AD ($n = 5$) and AD ($n = 5$) patients' brain by ELISA. Values are the means \pm SEM. * $P < 0.05$, ** $P < 0.01$, and *** $P < 0.001$; two-tailed Student's *t* test (*A*, *B*, and *H–J*).

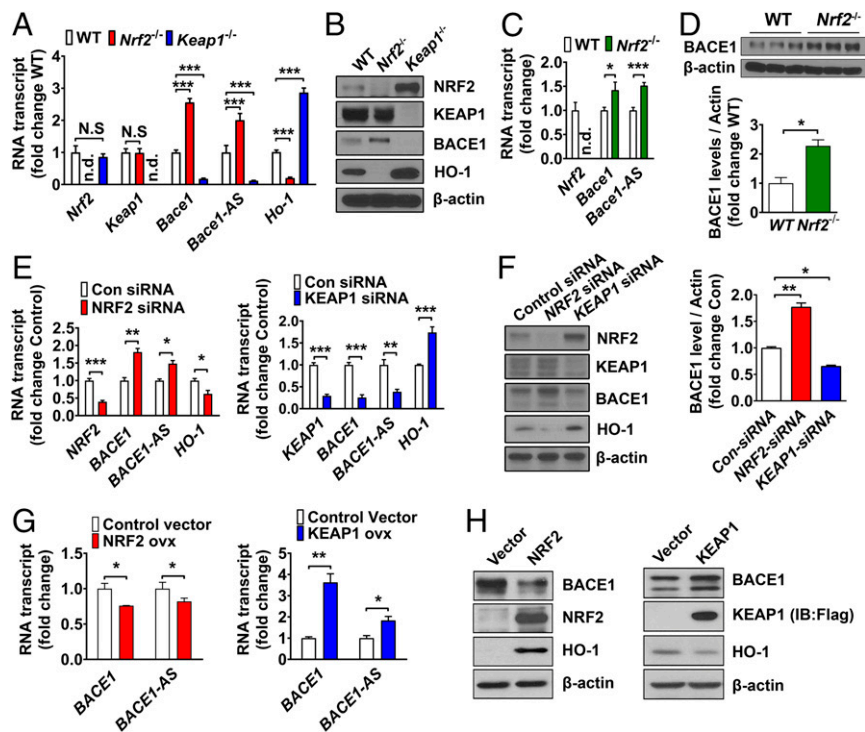


Fig. 2. NRF2 regulates the transcription of *BACE1* and *BACE1-AS*. (A and B) Transcript (A) and protein (B) levels of *Nrf2*, *Keap1*, *Bace1*, *Bace1-AS*, and *Ho-1* in WT, *Nrf2*^{-/-}, and *Keap1*^{-/-} MEF cells. (C and D) Transcript levels (C) of *Nrf2*, *Bace1*, and *Bace1-AS*, and *BACE1* protein levels (D) in cerebral cortex lysates of 12-month-old WT mice and *Nrf2*^{-/-} mice. (E and F) Transcript (E) and protein (F) levels of *NRF2*, *BACE1*, *BACE1-AS*, and *HO-1* were determined in SH-SY5Y cells transfected with control, *NRF2*, or *KEAP1* siRNA. (G) Transcription levels of *BACE1* and *BACE1-AS* are reduced in SH-SY5Y cells overexpressing *NRF2*, whereas *BACE1* and *BACE1-AS* transcripts are increased when overexpressed with *KEAP1*. (H) Protein levels of *BACE1*, *NRF2*, *HO-1*, and β -actin were determined from the same samples as in G. *NRF2* overexpression reduced *BACE1* expression (Left), and *NRF2* inhibition by *KEAP1* overexpression increased *BACE1* levels (Right). For all graphs, values are the means \pm SEM; **P* < 0.05, ***P* < 0.01, and ****P* < 0.001; two-tailed Student's *t* test (A and C–G). N.S., nonsignificant; n.d., not detectable. For all panels, *n* = 3 separate cultures.

pharmacological NRF2 inducers, sulforaphane and tBHQ, significantly down-regulated *BACE1* and *BACE1-AS* and up-regulated the NRF2 target gene *HO-1* (SI Appendix, Fig. S2 A–D). Together, these results suggest that NRF2 negatively regulates *BACE1* and *BACE1-AS* gene transcription.

NRF2 Binds to the AREs of *BACE1* and *BACE1-AS* Promoters and Suppresses Their Expression. We next investigated the possibility that *BACE1* and *BACE1-AS* are direct target genes of the transcription factor NRF2. Sequence analysis using UCSC Genome Browser revealed four, four, three, and one putative AREs in the proximal promoter regions of human *BACE1*, human *BACE1-AS*, mouse *Bace1*, and mouse *Bace1-AS*, respectively (SI Appendix, Figs. S3–S6). We performed chromatin immunoprecipitation (ChIP) assays and found that NRF2 binding to ARE1 in human *BACE1* promoter was increased in sulforaphane-treated SH-SY5Y cells compared with controls, with no significant changes seen at the other ARE sites in human *BACE1* promoter (Fig. 3A). In human *BACE1-AS* promoter, NRF2 binding to ARE1 and ARE2 was significantly enhanced by sulforaphane treatment (Fig. 3B). Sulforaphane increased binding of NRF2 to the ARE sites of the *HO-1* and *NAD(P)H:quinone oxidoreductase 1 (NQO1)* promoters (Fig. 3A and B). By in vivo ChIP analysis, we also detected prominently enhanced binding of NRF2 to ARE3 of the mouse *Bace1* promoter and ARE1 of mouse *Bace1-AS* promoter in the brain tissues of sulforaphane-treated WT mice compared with vehicle-treated mice (SI Appendix, Fig. S7 A and B).

We generated a series of point mutants for the human *BACE1* promoter-driven reporters and compared their promoter activities. Disruption of ARE1 of the human *BACE1* promoter by site-

directed mutagenesis (mutations of conserved nucleotides in the consensus sequence: TGANNNGC) led to an \sim 2.5-fold enhancement of promoter activity compared with the WT promoter (Fig. 3C). Moreover, when the ARE1 site was mutated, sulforaphane-mediated NRF2 activation barely suppressed human *BACE1* promoter transcription, whereas WT and other ARE mutants showed significant responses to the treatment of sulforaphane (Fig. 3C). To confirm that the AREs of mouse *Bace1* and *Bace1-AS* promoters were functional, we constructed WT and point mutant mouse *Bace1* and *Bace1-AS* promoter-driven reporters and tested their activities in mouse neuronal HT22 cells. We found that the reporter activities of WT mouse *Bace1* and *Bace1-AS* promoters were significantly decreased by NRF2 induction, while ARE3-mutated mouse *Bace1* and ARE1-mutated *Bace1-AS* promoters did not respond to NRF2 induction (SI Appendix, Fig. S7 C and D).

To investigate how NRF2 can function as both a transcriptional activator and a repressor, we changed the ARE1 sequence of the *BACE1* promoter (GCTCCCTCA) into the ARE sequence of the *HO-1* promoter (GCTGAGTCA) and compared the promoter activities. The WT *BACE1* promoter showed significantly reduced activity in response to the NRF2 inducer tBHQ (Fig. 3D). Activity of the *BACE1* promoter lacking ARE1 (*BACE1*- Δ ARE1) was not affected by tBHQ, whereas activity of the *BACE1* promoter in which ARE1 was replaced with the ARE of *HO-1* (*BACE1*-*HO-1* ARE) was significantly increased by tBHQ treatment (Fig. 3D). These results indicate that differences in non-conserved sequences within the ARE sequence (TGANNNGC) determine whether NRF2 acts as a transcriptional activator or repressor.

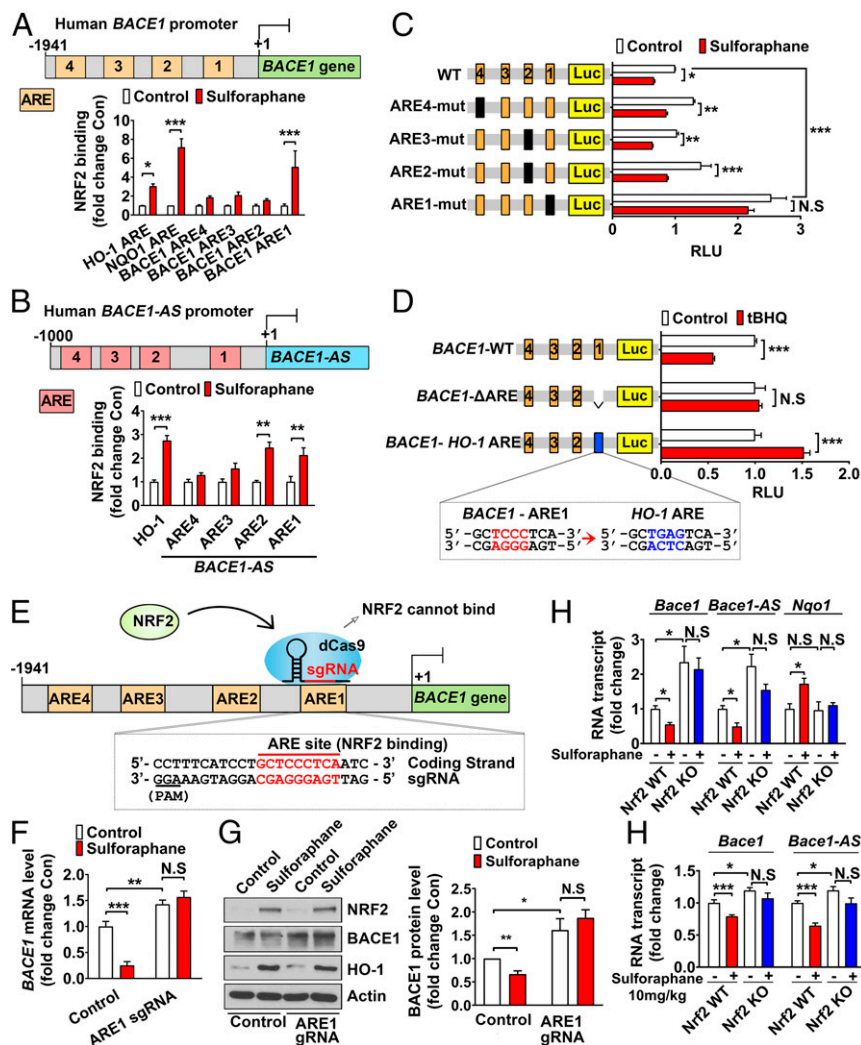


Fig. 3. NRF2 directly binds to the ARE sites in the *BACE1* promoter, and NRF2 activation reduces *BACE1* expression. (A) Endogenous NRF2 binding affinity to ARE1 in human *BACE1* promoter and NRF2 binding to the *HO-1* and *NQO1* promoters are increased in SH-SY5Y cells treated with sulforaphane (1 μ M). (B) Endogenous NRF2 binding to ARE1 and ARE2 in the human *BACE1-AS* promoter and to the *HO-1* promoter is increased in SH-SY5Y cells treated with sulforaphane. (C) Firefly luciferase reporter plasmids carrying the WT or ARE mutant human *BACE1* promoters were cotransfected with the *Renilla* luciferase reporter plasmid (pRLTK Δ ARE) into HEK293T cells, and the cells were treated with or without sulforaphane. pRLTK Δ ARE was used for normalizing transfection efficiency (RLU, relative luciferase units). (D) Relative luciferase activity of *BACE1*-WT and modified vectors was measured in the HEK293T cells after treatment with tBHQ (10 μ M) for 24 h. (E) The ARE1 location in human *BACE1* promoter and CRISPRi strategy with a guide RNA (sgRNA) to target dCas9 to the ARE1 sequence. (F and G) CRISPRi of ARE1 in human *BACE1* promoter blocks NRF2-induced decrease in *BACE1* mRNA (F) and protein (G). SH-SY5Y cells were transfected with ARE1 sgRNA only or pDCas9 vector and ARE1 sgRNA. The ARE1 sgRNA was designed with a 20-bp complementary region including human *BACE1* promoter ARE1. To select a pure population of dCas9-expressing cells, SH-SY5Y cells were treated with puromycin and sulforaphane. (H) Transcription levels of *Bace1*, *Bace1-AS*, and *Nqo1* were measured in WT and *Nrf2*^{-/-} MEFs treated with vehicle (-) or 4 μ M sulforaphane (+) for 24 h. (I) *Bace1* and *Bace1-AS* transcript levels in hippocampus of WT mice and *Nrf2*^{-/-} mice treated with vehicle (0.67% dimethyl sulfoxide) or 10 mg/kg sulforaphane every other day for 4 wk (+). There were six WT mice and eight *Nrf2*^{-/-} mice in each treatment group. Values are the mean \pm SEM. **P* < 0.05, ***P* < 0.01, and ****P* < 0.001; two-tailed Student's *t* test (A–D, F, and G) or two-way ANOVA (H and I) (N.S., nonsignificant) (*n* = 3, separate cultures).

We next asked whether the NRF2 binding element of the *BACE1* promoter in the human genome responds to endogenous NRF2. CRISPR interference (CRISPRi) technology (23, 24) was used to direct a catalytically inactive Cas9 (dCas9) to the ARE1 of *BACE1* promoter with guide RNA (gRNA) to interfere with NRF2 binding to the site (Fig. 3E). We found that CRISPRi abolished NRF2-mediated repression of *BACE1* expression (Fig. 3F and G). Sulforaphane, an NRF2 inducer, reduced *Bace1* and *Bace1-AS* transcripts and increased *Nqo1* transcript levels in WT MEFs, while these effects of sulforaphane were lost in *Nrf2*^{-/-} MEFs (Fig. 3H). Sulforaphane-mediated reduction of *Bace1* and *Bace1-AS* transcript levels did not occur in brain tissues of *Nrf2*^{-/-} mice (Fig. 3I). Together, these results demonstrate that

NRF2 inducers suppress the transcription of *Bace1* and *Bace1-AS* genes in an NRF2-dependent manner.

We also determined whether NRF2 affects the expression of γ -secretase components or the activity of γ -secretase. When we transfected SH-SY5Y cells with NRF2 or KEAP1 cDNA expression plasmids, the protein levels of five different components of γ -secretase complex were not changed (SI Appendix, Fig. S8A and B). Further, we examined γ -secretase activity using luciferase-based γ -secretase activity assay. Overexpression of NRF2 or KEAP1 did not alter γ -secretase activity (SI Appendix, Fig. S8A and B). Also, sulforaphane, an NRF2 activator, did not affect γ -secretase activity, whereas DAPT, a γ -secretase direct inhibitor, significantly reduced the γ -secretase activity (SI Appendix, Fig. S8B). These data

suggest that NRF2 activation may not affect the γ -secretase pathway to alter amyloidosis.

NRF2-Mediated Reduction of *Bace1* and *Bace1-AS* Expression Is Independent of ROS Regulation. Since a number of NRF2-regulated genes control oxidative stress, and *Bace1* expression can be regulated by reactive oxygen species (ROS), we determined whether the NRF2-mediated suppression of *Bace1* and *Bace1-AS* expression depends on the ROS level. The ROS level was ~10-fold up-regulated in *Nrf2*^{-/-} MEFs compared with WT, whereas ROS levels in *Keap1*^{-/-} MEFs were similar to WT MEFs (SI Appendix, Fig. S9A). However, *Keap1*^{-/-} cells exhibited increased expression of *Nrf2* and *Ho-1* and reduced expression of *Bace1* and *Bace1-AS* compared with WT cells (Fig. 2 A and B). Treatment with the antioxidant *N*-acetyl cysteine (NAC) significantly reduced ROS levels (SI Appendix, Fig. S9B), without affecting levels of *Bace1* and *Bace1-AS* transcripts in cells lacking NRF2 (SI Appendix, Fig. S9 C and D). Down-regulation of NRF2 increased ROS levels in SH-SY5Y cells (SI Appendix, Fig. S9E), whereas NAC treatment did not affect the NRF2 knockdown-induced transcription of *BACE1* and *BACE1-AS* (SI Appendix, Fig. S9 F and G). Interestingly, NAC treatment increased transcript levels of *BACE1* and *BACE1-AS*, although ROS levels were significantly reduced by NAC treatment. Collectively, the data (SI Appendix, Fig. S9) suggest that NRF2-mediated reduction of *BACE1* and *BACE1-AS* expression is independent of ROS regulation.

Deficiency of *Nrf2* Accelerates *Bace1* Expression, A β Pathology, and Cognitive Decline in 5xFAD Mice. To elucidate whether *Nrf2* deficiency mediates increased *Bace1* expression, A β pathology, and associated cognitive deficits in AD, we ablated *Nrf2* in 5xFAD

mice (5xFAD;*Nrf2*^{-/-}). The novel object recognition test showed no significant differences between 5xFAD and 5xFAD;*Nrf2*^{-/-} mouse groups, but 5xFAD;*Nrf2*^{-/-} mice showed substantial cognitive impairment in the passive avoidance test compared with 5xFAD mice (Fig. 4 A and B). In brain tissue, *Bace1* and *Bace1-AS* expression levels were higher in *Nrf2*^{-/-} mice than in WT mice, and 5xFAD;*Nrf2*^{-/-} mice showed higher levels of *Bace1* and *Bace1-AS* transcripts and BACE1 protein compared with *Nrf2*^{-/-} and 5xFAD mice (Fig. 4 C and D). There was a significant increase in A β levels in 5xFAD;*Nrf2*^{-/-} mice compared with 5xFAD mice, with A β levels below the limit of detection in WT and *Nrf2*^{-/-} mice (Fig. 4D). As previously reported (5), a positive correlation was found between BACE1 expression levels and BACE1 activity in these mice groups (Fig. 4E). A β plaque loads in the hippocampus and cortex of 5xFAD;*Nrf2*^{-/-} were significantly greater than in age-matched 5xFAD mice (Fig. 4 F and G and SI Appendix, Fig. S10). Brain deposition of A β 42 was also significantly elevated in 5xFAD;*Nrf2*^{-/-} mice compared with 5xFAD, whereas A β 40 levels were not different in both mice groups (Fig. 4H). In the 5xFAD and 5xFAD;*Nrf2*^{-/-} mice, the amounts of A β accumulation reflects *Bace1* levels of each genotype. These findings suggest that *Nrf2* deficiency accelerates cognitive decline through the induction of *Bace1* and *Bace1-AS* resulting in accelerated A β pathology in AD mice.

NRF2 Inducer Sulforaphane Ameliorates Cognitive Deficits and A β Accumulation by Reducing *Bace1* Expression in 5xFAD and 3xTg-AD Mice. We next examined whether suppression of *Bace1* expression by sulforaphane resulted in a delay of cognitive decline by

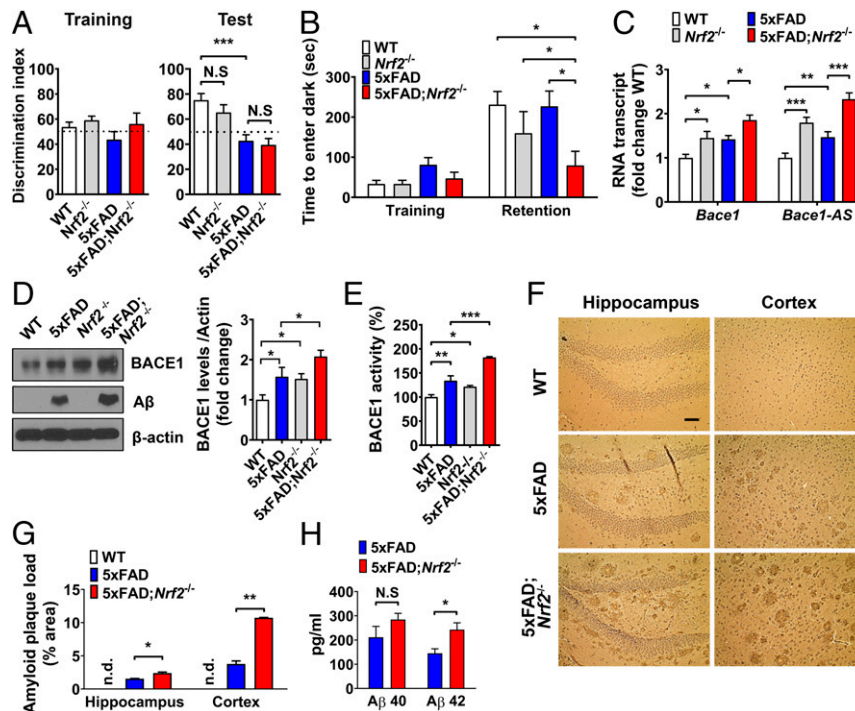


Fig. 4. *Nrf2* deficiency increases *BACE1* expression and exacerbates A β -associated cognitive deficits in 5xFAD mice. Nine-month-old WT, 5xFAD, *Nrf2*^{-/-}, and 5xFAD;*Nrf2*^{-/-} mice were examined ($n = 8\text{--}12$ per group). (A) In the novel object recognition test, mice in each group spent the same time exploring the two objects during the training session. The 5xFAD and 5xFAD;*Nrf2*^{-/-} mice failed to spend more time with the novel object than the familiar object in the test session. (B) In the passive avoidance test, only 5xFAD;*Nrf2*^{-/-} mice exhibited impaired learning and memory function. (C) Levels of *Bace1* and *Bace1-AS* transcripts in cerebral cortical tissue samples of the indicated genotypes of mice. (D) Levels of BACE1 and A β proteins in cerebral cortical tissue samples of the indicated genotypes of mice. (E) BACE1 enzymatic activity measured in the same samples as C and D. (F) Light microscopic images of A β immunoreactivity with hematoxylin counterstaining in cortex and hippocampus of WT, 5xFAD, and 5xFAD;*Nrf2*^{-/-} mice. (Scale bar, 50 μ m.) (G) A β plaque loads in cortex and hippocampus of WT, 5xFAD, and 5xFAD;*Nrf2*^{-/-} mice. (H) The levels of A β 1-40 and A β 1-42 of the cerebral cortex samples measured by ELISAs. Values are the mean \pm SEM. * $P < 0.05$, ** $P < 0.01$, and *** $P < 0.001$; one-way ANOVA with Tukey's (A, B, D, and E), two-way ANOVA (C and G), or two-tailed Student's *t* test (H) (N.S., nonsignificant; n.d., not detectable).

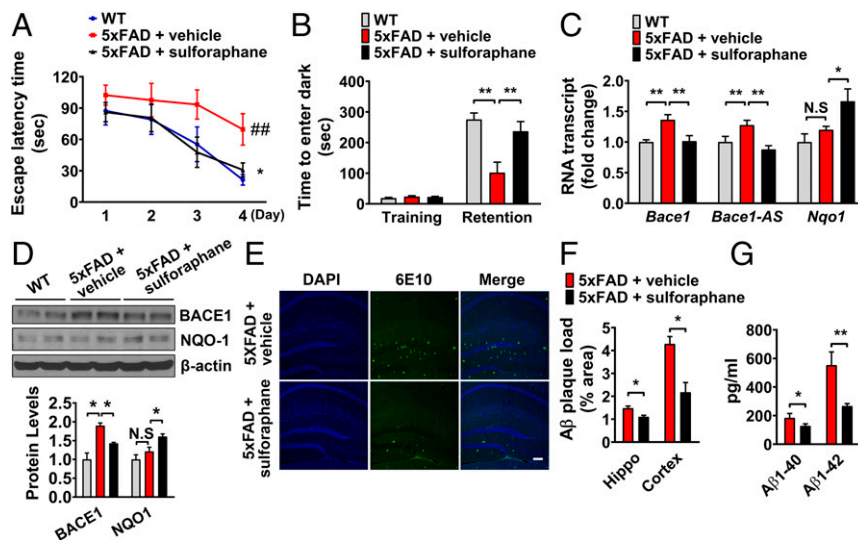


Fig. 5. The NRF2 inducer sulforaphane ameliorates cognitive deficits and A β accumulation by reducing Bace1 expression in 5xFAD mice. Three-month-old 5xFAD mice and age-matched nontransgenic littermates (WT) were treated with sulforaphane (10 mg/kg every other day) or vehicle for 2 month ($n = 6-8$ per group). (A) Acquisition of memory of the location of the hidden platform (escape latency) in the water maze on four consecutive days of training. Values are the mean \pm SEM. $^{###}P < 0.01$, WT versus 5xFAD; $^{*}P < 0.05$ 5xFAD versus 5xFAD+sulforaphane (one-way ANOVA with Tukey's post hoc test). (B) Latency times in training and memory retention trials in a passive avoidance task. (C) Transcript expression of *Bace1*, *Bace1-AS*, and *Nqo1* in cerebral cortex tissue from WT mice, and control- and sulforaphane-treated 5xFAD mice. (D) Immunoblot analysis of BACE1, NQO1, and β -actin proteins in cerebral cortex samples from WT mice, and control- and sulforaphane-treated 5xFAD mice. (E) Staining of amyloid plaques in the hippocampus of control- and sulforaphane-treated 5xFAD mice. (Scale bar, 200 μ m.) (F) The numbers of A β plaques/mm 2 in hippocampus and cortex were quantified. (G) A β 1-40 and A β 1-42 levels in cerebral cortex samples were measured using specific ELISAs. Values are the means \pm SEM. $^{*}P < 0.05$ and $^{**}P < 0.01$; two-way ANOVA with Bonferroni posttests (B-D) or two-tailed Student's *t* test (F and G) (N.S., nonsignificant).

reducing A β production in vivo. Two different animal models of AD, 5xFAD and 3xTg-AD mice, were treated every other day with sulforaphane for 8 wk. In the Morris water maze and passive avoidance tests, administration of sulforaphane improved the impaired learning and memory of 5xFAD and 3xTg-AD mice (Figs. 5 A and B and 6 A and B). *Bace1* and *Bace1-AS* transcript levels were up-regulated in the brain tissues of 5xFAD mice compared with WT mice and were reduced in 5xFAD mice that had been treated with sulforaphane (Fig. 5 C and D). As in 5xFAD mice, *Bace1* mRNA and protein levels were significantly decreased in brain tissues of sulforaphane-treated 3xTg-AD mice (Fig. 6 C and D). Brain deposition of A β was also significantly reduced in sulforaphane-treated 5xFAD and 3xTg-AD mice (Figs. 5 E-G and 6 E). Tau is an important mediator of A β neurotoxicity, and A β clearance leads to reduction of Tau pathology in AD mice (25-28). To determine whether NRF2 activation influences tau phosphorylation, levels of phosphorylated Tau (pTau) were analyzed in sulforaphane- and vehicle-treated 3xTg-AD mice. Levels of pTau (PHF-1, Tau phospho Ser-198, and Tau phospho Thr-217) were significantly reduced in brain tissues of sulforaphane-treated 3xTg-AD mice compared with vehicle-treated mice (SI Appendix, Fig. S11A). Unexpectedly, total Tau level was increased in 10 mg/kg sulforaphane-treated 3xTg-AD mice. Immunohistochemical analysis confirmed that pTau (pS198) and A β (6E10) levels were decreased in sulforaphane-treated 3xTg-AD mice in a dose-dependent manner (SI Appendix, Fig. S11B).

Discussion

Although previous studies have shown the protective function of NRF2 against A β neurotoxicity, the role of NRF2 has been focused on the induction of antioxidant genes and autophagy-related genes (21, 29-31). Our findings reveal a previously unknown molecular mechanism that negatively regulates the expression of *BACE1* and *BACE1-AS* by directly binding to the ARE sites of the mouse and human *BACE1* and *BACE1-AS*

promoters (Fig. 6F). *Nrf2*-deficient AD (5xFAD;*Nrf2* $^{-/-}$) mice exhibited significantly elevated *Bace1* and *Bace1-AS* expression, increased A β plaque pathology, and more severe cognitive impairment compared with 5xFAD and *Nrf2* $^{-/-}$ mice. Pharmacological activation of NRF2 suppressed *BACE1* and *BACE1-AS* expression and A β production and ameliorated cognitive deficits and AD-related pathologies in 5xFAD and 3xTg-AD mice. While drugs that inhibit BACE1 enzyme activity are being developed (32-34), our findings suggest that down-regulation of *BACE1* and *BACE1-AS* transcription is another viable approach. *Bace1*-deficient mice do not generate A β (2, 35), and the discovery of an APP mutation that reduces β -cleavage and protects against AD supports inhibition of BACE1 activity as a promising therapeutic strategy for AD (10, 36, 37).

The expression of BACE1 is regulated by complex mechanisms at both the transcription and translational levels, all of which appear to have a role in elevating BACE1 levels and activity in AD (38). Moreover, *BACE1-AS*, a natural antisense transcript to the *BACE1* gene, increases *BACE1* mRNA stability by forming a RNA duplex with the sense transcript; this duplex masks the binding site for miR-485-5p, thereby preventing the miRNA-mediated mRNA decay and translational repression of *BACE1* (4, 39).

Aging is the strongest risk factor for AD. Evidence in several species shows that transcriptional activity of NRF2 declines with aging (40, 41), and it was recently reported that an NRF2 activator enhances lifespan in mice (42). Moreover, repressed NRF2 signaling contributes to the premature aging phenotype of Hutchinson-Gilford progeria syndrome (HGPS), while reactivation of NRF2 decreases ROS and restores cellular HGPS defects (43). NRF2 is predominantly cytoplasmic in hippocampal neurons, and NRF2-mediated transcription is suppressed in neurons of AD patients (19). The expression of both NRF2 and target genes of the NRF2-ARE pathway is reduced in old AD animals (29). NRF2 deficiency leads to enhanced autophagic dysfunction (21), phosphorylated-Tau (22, 44), and vulnerability to oxidative stress

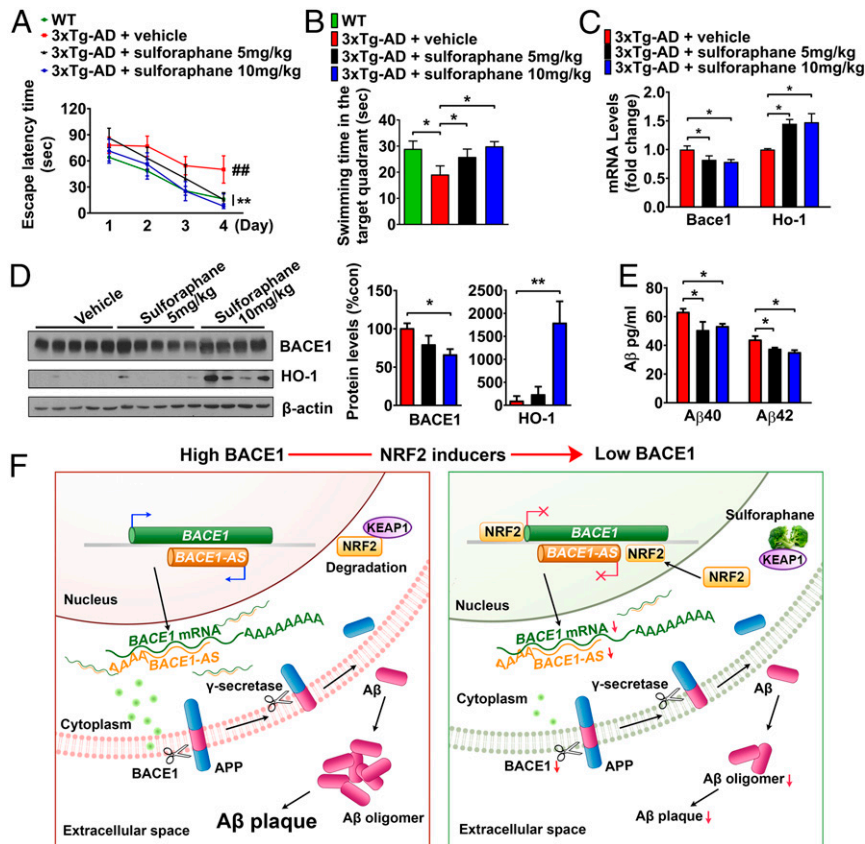


Fig. 6. Administration of sulforaphane reduces *Bace1* expression in brain and prevents memory impairments in the 3xTg AD mice. Seven-month-old 3xTg-AD mice were treated with vehicle (PBS) or sulforaphane (5 or 10 mg/kg, every other day) for 2 months. Mice were trained and tested on the spatial memory version of the Morris water maze (MWM). (A) Sulforaphane rescued spatial memory acquisition deficits during the 4 d of training. All mice were trained to criterion in the MWM task (indicated by solid lines at 120-s escape latency). Vehicle-treated 3xTg-AD mice require more training to reach criterion in the MWM compared with WT mice and sulforaphane-treated 3xTg-AD mice. Values are means \pm SEM ($n = 8$). $^{##}P < 0.01$, WT versus 3xTg-AD + vehicle; $^{**}P < 0.01$, 3xTg-AD + vehicle versus 3xTg-AD + sulforaphane (5 or 10 mg/kg). (B) Mice were given a memory retention probe trial with the escape platform removed at 24 h after the last training trial. The 3xTg-AD mice treated with sulforaphane exhibited a dose-dependent increased time in the target quadrant. No significant differences in the time spent in the target quadrant were seen with sulforaphane (10 mg/kg) treatment compared with WT mice. (C) Quantitative real-time PCR analyses of *Bace1* and *Ho-1* expression in cerebral cortex of vehicle- and sulforaphane-treated 3xTg-AD mice. (D) BACE1 and HO-1 protein levels in the cerebral cortex of vehicle- and sulforaphane-treated 3xTg-AD mice. (E) Levels of A β 40 and A β 42 were quantified in cortical tissue samples from sulforaphane- and vehicle-treated 3xTg-AD mice by ELISA. (F) Diagram showing the mechanism by which the NRF2/ARE pathway negatively regulates *BACE1* and *BACE1-AS* gene transcription. NRF2 activators disrupt the KEAP1-NRF2 interaction, and activated-NRF2 translocates to the nucleus. NRF2 directly binds to AREs in the *BACE1* and *BACE1-AS* promoters and represses their transcription. Values are the mean \pm SEM. $^{*}P < 0.05$ and $^{**}P < 0.01$; one-way ANOVA with Tukey's (*B-E*).

(45). Conversely, up-regulation of NRF2-ARE pathway protects neurons against oxidative proteotoxic stress (29, 46–48).

In most cases, NRF2 acts as a transcriptional activator that binds to the ARE site in the target gene promoter and increases the expression of the target gene. However, there are several reports suggesting that NRF2 negatively regulates the expression of certain genes (49, 50). One unresolved issue is how NRF2 is able to function as both activator and a repressor. Variation of four nucleotides between TGA and GC of the ARE (TGANNNGC) might be associated with NRF2 acting as a transcriptional repressor. We tested this possibility by generating reporter vectors in which we converted the ARE1 sequence of human *BACE1* promoter (GCTCCCTCA) into the ARE sequence of the *HO-1* promoter (GCTGAGTCA), a gene induced by NRF2. NRF2 activation significantly increased the activity of *BACE1* promoter substituted with *HO-1* ARE but inhibited the original *BACE1* promoter activity. Further studies are warranted to detail how these sequence differences contribute to the transcriptional repressor function of NRF2. NRF2 might also induce epigenetic changes in the *BACE1* and *BACE1-AS* promoters. The Encyclopedia of DNA Elements (ENCODE) integrative analysis showed that there is an EZH2 binding site near to the ARE1 of *BACE1* promoter (51). EZH2 is

the epigenetic modifier forming H3K27me3 which is commonly associated with silencing of genes. Whether NRF2, located near ARE1 of *BACE1* promoter, might recruit or interact with EZH2 to silence *BACE1* expression requires further study.

Our findings suggest that, in addition to up-regulation of antioxidant enzymes, activators of NRF2 can modify a specific pathogenic molecular pathway involved in AD pathogenesis. The NRF2 inducer sulforaphane ameliorates AD-related cognitive deficits by reducing *Bace1* and *Bace1-AS* expression and subsequently A β generation in both 5xFAD and 3xTg-AD mice. Consumption of vegetables is associated with reduced risk for AD (52), and sulforaphane is present in relatively high amounts in vegetables such as broccoli and leafy greens (53). Our findings suggest a potential for disease modification by NRF2-activating phytochemicals or synthetic small molecules in AD.

Materials and Methods

Animals and Behavioral Tests. The sources, breeding protocols, housing conditions, experimental treatment procedures, and behavioral testing methods are detailed in *SI Appendix, Methods*. All animal experiments and procedures were approved by the Institutional Animal Care and Use Committee of Sungkyunkwan University.

Immunohistochemistry, Immunoblot, qPCR, and Chromatin Immunoprecipitation.

Methods for mouse brain tissue preparation, immunostaining, immunoblot analysis, quantitative analysis of mRNA expression, and chromatin immunoprecipitation are detailed in *SI Appendix, Methods*.

Cell Cultures and Experimental Treatments. SH-SY5Y cells and HEK293T cells were purchased from ATCC, and *Keap1*^{-/-} MEFs were graciously gifted by Masayuki Yamamoto, Tohoku University, Sendai, Japan. HT22, mouse hippocampal neuronal cells, were kindly gifted by David Schubert, Salk Institute for Biological Studies, La Jolla, CA. Methods for culture maintenance and experimental manipulations are detailed in *SI Appendix, Methods*.

Biochemical Assays. Methods for luciferase, BACE1, and γ -secretase activity assays and for ELISA and ROS analyses are detailed in *SI Appendix, Methods*.

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Statistical Analysis. All statistical analyses were performed with Prism7 (GraphPad Software, San Diego, CA), using two-tailed Student's *t* test, one-way ANOVA with Tukey's, or two-way ANOVA. Data are expressed as mean \pm SEM. Groups were considered significantly different when $P < 0.05$ (* $P < 0.05$, ** $P < 0.01$, and *** $P < 0.001$).

SI Appendix contains additional data, including *SI Appendix, Materials and Methods*.

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